# JAMA | Review

# Extracorporeal Life Support for Adults With Respiratory Failure and Related Indications A Review

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**IMPORTANCE** The substantial growth over the last decade in the use of extracorporeal life support for adults with acute respiratory failure reveals an enthusiasm for the technology not always consistent with the evidence. However, recent high-quality data, primarily in patients with acute respiratory distress syndrome, have made extracorporeal life support more widely accepted in clinical practice.

**OBSERVATIONS** Clinical trials of extracorporeal life support for acute respiratory failure in adults in the 1970s and 1990s failed to demonstrate benefit, reducing use of the intervention for decades and relegating it to a small number of centers. Nonetheless, technological improvements in extracorporeal support made it safer to use. Interest in extracorporeal life support increased with the confluence of 2 events in 2009: (1) the publication of a randomized clinical trial of extracorporeal life support for acute respiratory failure and (2) the use of extracorporeal life support in patients with severe acute respiratory distress syndrome during the influenza A(H1N1) pandemic. In 2018, a randomized clinical trial in patients with very severe acute respiratory distress syndrome demonstrated a seemingly large decrease in mortality from 46% to 35%, but this difference was not statistically significant. However, a Bayesian post hoc analysis of this trial and a subsequent meta-analysis together suggested that extracorporeal life support was beneficial for patients with very severe acute respiratory distress syndrome. As the evidence supporting the use of extracorporeal life support increases, its indications are expanding to being a bridge to lung transplantation and the management of patients with pulmonary vascular disease who have right-sided heart failure. Extracorporeal life support is now an acceptable form of organ support in clinical practice.

**CONCLUSIONS AND RELEVANCE** The role of extracorporeal life support in the management of adults with acute respiratory failure is being redefined by advances in technology and increasing evidence of its effectiveness. Future developments in the field will result from technological advances, an increased understanding of the physiology and biology of extracorporeal support, and increased knowledge of how it might benefit the treatment of a variety of clinical conditions.

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It is estimated that nearly 2 million people with acute respiratory failure are hospitalized annually in the United States at a cost exceeding \$50 billion.<sup>1</sup> Approximately half require invasive mechanical ventilation, and in-hospital mortality exceeds 20% in these patients.<sup>1</sup> Mechanical ventilation has been the primary management tool for patients with acute respiratory failure since the 1950s' polio epidemic, yet it is associated with major complications that can increase mortality.<sup>2</sup> Consequently, there is a need for better ventilatory strategies, as well as alternative modes of respiratory support. In this setting, extracorporeal life support (ECLS), which provides gas exchange via an extracorporeal circuit, is increasingly being used to provide support to failing lungs, a failing heart, or both (Figure 1). Rudimentary versions of ECLS developed in the 1970s were used for several decades but were largely abandoned because they lacked compelling evidence for their efficacy and resulted in major complications.<sup>3,4</sup> However, improvements in technology renewed interest in ECLS.<sup>5</sup> Over the last decade, use of ECLS has substantially increased (eFigure, A in the Supplement), at times, far outpacing the evidence justifying its use.<sup>5-7</sup> An increasing evidence base now supports greater use of ECLS for adult patients in respiratory failure.<sup>8-11</sup>

This review examines the reemergence of ECLS, discussing the physiologic rationale, current evidence, indications, and complications associated with its use in adult patients with respiratory failure and other related conditions. Importantly, ethical

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article.



Schematic representation of a patient cannulated for venovenous extracorporeal membrane oxygenation (ECMO) with a typical 2-site set-up, with right femoral venous drainage and right internal jugular venous return. Deoxygenated blood is withdrawn from the patient and pumped through the membrane lung where layers of coated hollow fibers allow passage of gas (typically 100% oxygen), delivered from a blender, through the core of the fibers. Blood enters the membrane and washes over the fibers on its way through the gas exchange device. Carbon dioxide from the blood diffuses

into the gas exchange fibers and exits the oxygenator; simultaneously, oxygen leaves the fibers to saturate the hemoglobin within the red cells during transit. A heat exchanger within the oxygenator allows control of body temperature. Oxygenated, decarboxylated blood is seen exiting the membrane lung under positive pressure (although a drop in pressure occurs across the membrane lung) and is reinfused into the patient through the internal jugular vein cannula.

considerations and the need for further research are highlighted, as is the potential future effect of the technology on patient outcomes. An overview of how ECLS provides circulatory support is presented in the eAppendix in the Supplement.

Methods

A literature search using PubMed was performed for literature published between January 1, 1960, and June 1, 2019. Search terms included *extracorporeal membrane oxygenation*, *extracorporeal carbon dioxide removal*, *extracorporeal life support*, *ECMO*, *ECLS*, and *ECCO*<sub>2</sub>*R*. Non–English-language articles, and articles pertaining primarily to use in the neonatal or pediatric populations, were excluded. Specific articles for inclusion were selected based on their contribution to current practice or ongoing research questions. Priority was given to clinical trials, large longitudinal observational studies, and more recent articles.

# Terminology

Extracorporeal support for respiratory and cardiac failure is referred to by many, often overlapping and imprecise terms. An international consensus statement recently clarified the nomenclature (**Box 1**).<sup>12</sup> *ECLS*, the overarching term, is divided into 2 modalities: extracorporeal membrane oxygenation (ECMO) and extracorporeal carbon dioxide removal (ECCO<sub>2</sub>R). ECMO provides sufficient blood flow rates for either respiratory gas exchange support (venovenous ECMO) or circulatory support (venoarterial ECMO).

The goal of  $ECCO_2R$  is to remove carbon dioxide ( $CO_2$ ), which can be accomplished using comparatively lower blood flow rates, but which cannot provide substantial oxygenation. In retaining older terminology, these definitions represent a pragmatic compromise with a degree of lingering imprecision.

# **Basics of ECLS**

ECLS encompasses many techniques to support the lungs or heart. Current uses of ECLS specifically for respiratory failure are shown in the **Table**. ECLS requires a vascular access cannula placed in a central vein, attached to a blood pump that withdraws blood under negative pressure, and delivers it to a gas exchange device, referred to as an oxygenator or membrane lung (Figure 1). Most membrane lungs are composed of bundles of hollow fibers with gas pumped through their hollow core and venous blood washing over the fibers. Analogous to gas exchange at the pulmonary alveolar-capillary membrane,  $CO_2$  is removed by diffusion from the blood into the fibers and oxygen is delivered to the blood from the gas flowing through the fibers (known as sweep gas).

The fraction of delivered oxygen in the sweep gas (FDO<sub>2</sub>) may be controlled by a blender, just as the fraction of inspired oxygen  $(FIO_2)$  is titrated in a mechanical ventilator. The faster the sweep gas is propelled through the membrane lung, the quicker the CO<sub>2</sub> is cleared from the gas compartment within the fibers and the greater the gradient that is created with the CO<sub>2</sub> in the blood, resulting in increased CO<sub>2</sub> clearance, up to a point. This is analogous to increasing minute ventilation (and, by extension, alveolar ventilation) with a mechanical ventilator. The exiting blood, typically fully saturated and with a lower CO<sub>2</sub> than when it entered, is then pumped back into the patient. If it is pumped into an artery, it is known as venoarterial, providing cardiocirculatory support and a degree of respiratory support. There are several devices for short-term cardiocirculatory support. These devices are not the focus of this review and are briefly summarized in the eAppendix in the Supplement. Venoarterial ECLS is not chosen for respiratory support unless there is concomitant right-sided or left-sided heart dysfunction. During venovenous support, which is used for respiratory failure, the blood may be returned to, or near, the right atrium via a second vascular cannula-or a second lumen of a dual-lumen cannula.<sup>12,34</sup>

Cannulation in ECLS is commonly percutaneous, using a modified Seldinger technique with imaging guidance, although surgical cut-down procedures may occasionally be used for better visualization of the vessels. Cannulation directly into major vessels, such as the aorta, or cardiac chambers may be used in patients requiring a high degree of cardiac support or postoperatively after cardiopulmonary bypass.<sup>12</sup>

The oxygen content of blood is limited by the amount of oxygen that can be dissolved or bound to hemoglobin requiring high blood flow rates to achieve adequate oxygenation with ECMO. Because the blood flow rate is in turn limited by cannula size, large bore cannulae are required. Because sufficient  $CO_2$  may be removed at relatively low blood flow rates,  $ECCO_2R$  can be achieved using smaller cannulae (or catheters), with less risk of vascular-related complications. At low blood flow rates,

#### Box 1. Nomenclature and Definitions<sup>a</sup>

Extracorporeal life support (ECLS): Overarching term for extracorporeal support, intended to support either the failing heart or lungs, for short- or long-term use. Encompasses venovenous and venoarterial ECMO as well as ECCO<sub>2</sub>R.

Extracorporeal membrane oxygenation (ECMO): Used by some as an overarching term for all forms of support, now assigned to extracorporeal support with a blood pump, artificial lung, and vascular access cannulae, capable of providing circulatory support or generating blood flow rates adequate to support blood oxygenation (in addition to carbon dioxide removal).

Venovenous ECMO: Describes the support modality used when extracorporeal gas exchange is provided to blood withdrawn from the venous system, which is then reinfused to the venous system (typically at flow rates of 3-7 L/min). This mode supports respiratory gas exchange only.

Venoarterial ECMO: Gas exchange is provided to blood that is withdrawn from the venous system and then infused directly into the arterial system to provide partial or complete circulatory or cardiac support. The degree of respiratory support is variable.

**Extracorporeal carbon dioxide removal (ECCO<sub>2</sub>R):** Gas exchange support via an extracorporeal circuit at relatively low blood flow rates (typically <1500 mL/min), which may be adequate for meaningful carbon dioxide removal, but not for oxygenation.

Membrane lung or oxygenator: The component of the extracorporeal life support device containing a gas chamber and a blood chamber separated by a semipermeable membrane that exchanges oxygen and carbon dioxide with venous blood flowing through the device.

Vascular cannulation: The placement of a cannula (a large bore catheter) into the vascular system for drainage or reinfusion of blood.

Single-lumen cannula: One lumen for either drainage or reinfusion of blood.

**Double-lumen cannula:** A single cannula with 2 internal lumens, one of which is used to drain venous blood and the other to reinfuse venous blood.

Sweep gas: The gas delivered to the membrane lung, typically oxygen or a blend of oxygen and air (rarely carbon dioxide may be blended in).

**Fraction of delivered oxygen (FDo**<sub>2</sub>): The fraction of oxygen delivered through the sweep gas by blending oxygen and air.

there is a greater risk for thrombosis requiring anticoagulation. The differences in the risk to benefit ratio between full-flow ECMO and  $ECCO_2R$  are not entirely clear. A more detailed description of the physiology of ECLS may be found in the eAppendix in the Supplement.

# Complications

ECLS is a resource-intense, complex, interprofessional undertaking with many potentially serious complications<sup>35-37</sup> (Figure 2). Such complications arise either directly as a result of the device or its insertion or indirectly through the use of anticoagulation or the

<sup>&</sup>lt;sup>a</sup> Additional variants of the terminology described may be used for more complex configurations of extracorporeal support.<sup>12</sup>

## Table. Current Clinical and Research Uses of Respiratory Extracorporeal Life Support (ECLS) for Respiratory Failure and Related Indications

Application	Clinical or Research Indication	Highest Level of Evidence	Basic Type of ECLS Used
Very severe ARDS	Clinical	Randomized clinical trial <sup>8,9,13</sup>	Venovenous ECMO
Moderate ARDS	Research	Randomized clinical trial <sup>4,14,15</sup>	Venovenous ECCO <sub>2</sub> R
Bridge to lung transplantation <sup>a,b</sup>	Clinical	Matching study <sup>16-19</sup>	Venovenous or venoarterial ECMO or ECCO <sub>2</sub> R
Primary graft dysfunction after lung transplantation	Clinical	Cohort studies <sup>20</sup>	Venovenous ECMO
COPD, acute exacerbation <sup>b</sup>	Research	Matching studies <sup>21-23</sup>	Venovenous ECCO <sub>2</sub> R
Asthma, status asthmaticus <sup>b</sup>	Clinical	Case series <sup>24</sup>	Venovenous ECCO <sub>2</sub> R
Pulmonary embolism, acute massive	Clinical	Case series <sup>25-29</sup>	Venoarterial ECMO with or without adjunctive therapies <sup>c,d</sup>
Pulmonary hypertension, acute decompensation	Clinical	Case series <sup>17,30-33</sup>	Venoarterial ECMO
Abbreviations: ARDS, acute respiratory distress syndrome; COPD, chronic obstructive pulmonary disease; ECCO <sub>2</sub> R, extracorporeal carbon dioxide		<sup>b</sup> Best potential candidates for minimizing sedation, endotracheal extubation, and physical rehabilitation.	

removal; ECMO, extracorporeal membrane oxygenation

<sup>a</sup> Short-term for physiologic support; long-term or anticipated long-term support with minimal sedation and physical rehabilitation, with or without endotracheal extubation.

<sup>c</sup> Adjunctive therapies may include catheter-directed thrombolysis or embolectomy, surgical embolectomy, or anticoagulation alone.

<sup>d</sup> Typical candidates failed intravenous thrombolysis or were not candidates for this therapy.





Selected major complications reported in at least 1% of patients in the Extracorporeal Life Support Organization (ELSO) Registry from 2014 to present (data abstracted from the ELSO International Summary, January 2019) are listed with percentages. Overlapping categories are combined, where appropriate. Additional complications, not specifically tracked in the ELSO Registry, are listed in the gray shaded areas. Precise rates of occurrence for these complications are difficult to determine from the literature, given that they are not uniformly tracked and definitions vary across studies. In addition, some complications may not be caused directly by ECMO, but may represent

associations related, at least in part, to the patients' underlying illnesses. Bleeding complications are common during ECMO and may arise from procedures, such as cannula insertion, or occur spontaneously. Bleeding may also be precipitated or exacerbated by some of the hematologic complications listed in the figure (eg, thrombocytopenia or coagulation factor consumption), as well as by anticoagulation therapy, which is typically used to prevent circuit thrombosis. CNS indicates central nervous system; CPR, cardiopulmonary resuscitation.

effects of ECLS on distal organs,<sup>38</sup> what may be termed ECLSinduced injury. Precise complication rates are difficult to ascertain given the heterogeneity of definitions used across studies and the inconsistent reporting of some complications. The most comprehensive data on complications come from the registry of ECLS

cases maintained by the Extracorporeal Life Support Organization (http://www.elso.org). Such adverse events range from trivial to devastating. Among the most common complications, rates seen in the registry were bleeding (24%), infection (11%), and circuitrelated complications (25%), while cardiac arrhythmias were reported in 7.9% of patients and central nervous system hemorrhage or infarction in 5.2% (Figure 2).<sup>7</sup>

# **Historical Perspective**

ECLS originated from operating room cardiopulmonary bypass and evolved into a tool for supporting heart or lung failure in the intensive care unit (ICU). First successfully deployed in a patient with acute respiratory distress syndrome (ARDS) in 1971, <sup>39</sup> early enthusiasm for ECLS in acute respiratory failure was dampened by 2 negative randomized clinical trials (RCTs) in 1979 and 1994.<sup>3,4</sup> The earlier of these 2 trials compared venoarterial (rather than venovenous) ECMO plus invasive mechanical ventilation, with mechanical ventilation alone in patients with severe acute respiratory failure (including patients with pulmonary embolism).<sup>3</sup> The study was stopped early due to difficulty with recruitment. Ninety patients were randomized (42 to the ECMO arm). Notably, 30-day survival was similarly poor in both groups at less than 10%, in part reflecting the severity of illness of the patients. While ECMO could theoretically have benefited these patients, any potential benefits may have been offset by the crude technology of that era, which led to serious complications, such as bleeding, and that several enrolling centers had little to no experience with the device. In addition, the concept of ventilatorinduced lung injury (VILI) was not as well appreciated at the time, and hence the intensity of the mechanical ventilation strategy was not appreciably decreased in the ECMO group. This is in marked contrast to the recent Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress Syndrome (EOLIA) Trial, which will be discussed here.

In 1994, Morris et al<sup>4</sup> randomized 40 patients with severe ARDS to 2 interventions: pressure-controlled inverse ratio ventilation followed by venovenous  $ECCO_2R$  (n = 21; 19 received both interventions) or to conventional mechanical ventilation (n = 19). The trial was stopped early for futility, with no significant survival difference between groups (42% in controls and 33% in the intervention arm, P = .8). There was a major problem with increased bleeding in the extracorporeal group, with marked differences in requirements for blood products related in part to the aggressive anticoagulation required for the intensely thrombogenic circuit surfaces used at that time.

Subsequently, ECMO for adult respiratory failure was relegated to a few centers and largely to the publication of case series.<sup>6</sup> Nonetheless, the technology—primarily used during that time for cardiopulmonary bypass, as well as neonatal and pediatric ECLS advanced considerably with improvements in pumps, membrane lungs, biocompatible coated surfaces, and cannulae.<sup>6</sup>

An inflection point in the use of ECMO occurred around 2009 due to the confluence of 2 events: the publication of the CESAR trial,<sup>13</sup> and the widespread use of ECMO for patients with influenza A(H1N1)-associated ARDS during that year's pandemic.<sup>40-42</sup> CESAR was a pragmatic trial of 180 adults with severe acute respiratory failure randomized to conventional management at any of 68 hospitals in the United Kingdom or to transfer to a single ECMO center where patients received a management protocol including ECMO, if needed. The trial was not designed to directly compare ECMO vs no ECMO; only 76% of patients in the ECMO group received ECMO. It was also a pragmatic trial in which patients in the control group

were not mandated to receive low-volume, low-pressure ventilation, and only 70% did at any point during their course. Not providing standard ventilation for all patients in the control group biased the results in favor of the intervention group, making the 16% absolute reduction in the primary end point of death or severe disability at 6 months, reported as survival to 6 months without disability (63% vs 47%; relative risk [RR], 0.69 [95% CI, 0.05-0.97]; P = .03) difficult to interpret.

Only 2 serious adverse events were reported, both in the ECMO group, in which 1 patient died prior to undergoing ECMO cannulation due to failure of the oxygen supply in the ambulance during transport and 1 died as a consequence of cannulation. Bleeding, stroke, and infection were not reported. While the CESAR trial was an important trial and demonstrated relative safety, if not effectiveness, it could be interpreted in different ways depending on one's prior beliefs about the efficacy of ECMO–a Rorschach test of sorts, reflecting a range of such beliefs from strongly skeptical to strongly enthusiastic.<sup>43,44</sup>

The first major nonrandomized study of ECMO in patients with H1N1-associated ARDS suggested outstanding outcomes with ECMO, greatly increasing interest in its use.<sup>40</sup> However, a subsequent series that included similar patients, none of whom were treated with ECMO, demonstrated nearly identical outcomes.<sup>45</sup> Further studies of ECMO in H1N1-associated ARDS, with matched controls, yielded inconsistent results.<sup>41,42</sup> Despite this contradictory evidence, use of ECMO in adults increased substantially worldwide over the last decade (eFigure, A in the Supplement).<sup>746</sup> as did the number of ECLS-related publications (eFigure, B in the Supplement).

# Goals of ECLS

In the past, the major goal of ECMO for respiratory failure was to maintain adequate oxygenation. The hypothesis was that patients with severe hypoxemia were dying of tissue hypoxia; hence, increasing arterial oxygenation using ECMO would improve survival. However, over the past few decades, it has become clear that a major cause of mortality in patients with severe respiratory failure is iatrogenic injury due to the ventilatory support itself, referred to as VILI.<sup>2</sup> ECMO, by providing adequate gas exchange, allows the clinician to decrease the intensity of mechanical ventilation, in turn decreasing VILI. Based on a large body of evidence from patients with ARDS, this decrease in VILI is thought to be more important to clinical outcomes in most patients with ARDS receiving ECMO, as compared with the effect of ECMO on hypoxemia<sup>2</sup> (Figure 3).

Other key goals during ECLS might be to minimize sedation, liberate patients expeditiously from mechanical ventilation, and mobilize patients. This may be advantageous, in general, in appropriate critically ill patients,<sup>47</sup> and is a theoretically attractive strategy in patients receiving ECLS. Without invasive mechanical ventilation, for instance, there can be no ventilator-associated pneumonia and no VILI. Importantly, however, no VILI does not equate to no additional lung injury because patient respiratory effort may lead to further lung injury even in the absence of mechanical ventilation, so-called patient self-inflicted lung injury.<sup>48</sup> While the strategy of keeping ECLS patients awake, extubated, and ambulatory has been shown to be feasible and safe when undertaken in a methodical, interprofessional fashion,<sup>49,50</sup> it is unclear whether it should be



# Figure 3. Potential Physiologic Mechanisms of Benefit of Extracorporeal Life Support (ECLS) for Respiratory Failure

routinely encouraged in patients with ARDS given the inherent risks, including additional lung injury,<sup>48</sup> even though it may be possible to mitigate the risk of further lung injury.<sup>51,52</sup> However, there is clearly a role for a strategy of keeping patients awake and ambulatory in those who require ECLS as a bridge to lung transplantation (as described here) and a potential role in other indications.<sup>16,21,24</sup>

#### Indications and Potential Indications for ECMO and ECCO<sub>2</sub>R

There are several current and potential indications for ECMO and  $ECCO_2R$  (Table).

# Very Severe ARDS

The EOLIA trial<sup>8</sup> was a multicenter, international RCT in patients with very severe ARDS. Patients were randomized to standard of care, including protocolized mechanical ventilation (n = 125) or to ECMO (n = 124) with ventilator pressures, volumes, and respiratory rates set lower than the current standard; 90% of controls and 66% of ECMO patients underwent prone positioning. Crossover from control to "rescue" ECMO for failure of conventional management was allowed based on strict criteria. All enrolling centers were expert in the management of patients with acute respiratory failure.

The trial was terminated early for futility. There was, however, a nonstatistically significant, yet large reduction in mortality with ECMO (35% vs 46%; RR, 0.76 [95% CI, 0.55-1.04]; P = .09). There were 2 deaths attributed to ECMO. Although bleeding leading to transfusion occurred more frequently in the ECMO group (46% vs 28%) as did severe thrombocytopenia (27% vs 16%), the overall rate of complications in the ECMO group was reassuringly low, most notably, there was no statistically significant difference between groups in ischemic or hemorrhagic strokes. Overall, there were 3 strokes in the ECMO group and 8 in the control group.

Given that EOLIA was reported as a negative study but with a large absolute reduction in mortality, is an additional RCT necessary? Another trial seems unlikely given that EOLIA took 5.5 years to enroll 249 patients, and there was a 28% crossover to ECMO, demonstrating a lack of clinical equipoise.<sup>53</sup> This lack of equipoise at ECMO centers would be even greater today given the mortality difference seen in EOLIA. With another similar trial unlikely, how should

these results be interpreted? Two analyses following the publication of EOLIA help in this regard.

Goligher et al<sup>9</sup> published a post hoc Bayesian analysis of EOLIA. Bayesian analyses take into account prior beliefs and knowledge (known as priors), and combine them with data from the new trial, yielding a posterior probability, defined as the probability of benefit based on what is now known from the combination of the priors and the new trial data. Given that the Goligher et al<sup>9</sup> study was undertaken after the publication of EOLIA, unbiased prior beliefs could not be ascertained.<sup>9</sup> As such, a range of priors was chosen, from strongly skeptical to strongly enthusiastic, as well as a meta-analysis of prior ECMO studies. Readers of the study may choose which prior beliefs and what weighting of the earlier studies best reflect their priors and draw conclusions about EOLIA based on the corresponding posterior probabilities. Overall, based on the Bayesian analysis, the probability of a mortality benefit at 60 days (RR<1) was high, ranging from 88% to 99%. The probability of an absolute risk reduction of 2% or more ranged from 78% to 98%, depending on the chosen priors.

This analysis strongly suggests that there is a mortality benefit to ECMO in very severe ARDS as defined by the EOLIA entry criteria (**Box 2**). As the editorialists wrote, it is no longer a question of "Does ECMO work?' because that question appears to be answered. Instead the key question...is 'By how much does ECMO work, in whom, and at what cost?"<sup>54</sup>

A formal meta-analysis by Munshi et al<sup>10</sup> came to a similar conclusion. This group analyzed 5 studies (2 RCTs and 3 observational studies; 773 patients). Based on the 2 RCTs (429 patients), 60-day mortality was significantly lower in the ECMO group compared with the control group (RR, 0.73 [95% CI, 0.58-0.92]; *P* = .008), with a moderate GRADE level. A similar conclusion, but with a slightly lower RR, was obtained when all 5 studies were combined (RR, 0.69 [95% CI, 0.50-0.95]).<sup>10</sup> Due to inconsistent reporting, adverse events were not pooled. Among the studies reporting bleeding complications (n = 251 in the 3 studies), 19% of patients experienced a major hemorrhage, 6% with intracranial bleeding. Only 2% of patients had circuit- or cannula-associated major complications in the 4 studies reporting these complications (n = 341). The conclusion from these studies fundamentally alters the algorithm for the treatment of patients with very severe ARDS, with ECMO becoming a standard strategy in experienced ECMO centers for patients meeting EOLIA criteria (Box 2).<sup>11,55</sup>

What are the potential mechanisms of benefit of ECMO in very severe ARDS? Clearly, for patients dying of profound hypoxemia, the ability to improve systemic oxygenation is important. However, patients who were enrolled in EOLIA due to severe respiratory acidosis (arterial pH <7.25 with arterial partial pressure of  $CO_2$  [PacO<sub>2</sub>]  $\geq$ 60 mm Hg for >6 hours), rather than solely hypoxemia, appeared to benefit most.<sup>8</sup> This suggests that a major mechanism of benefit was the decrease in VILI due to the ventilation strategy that lowered pressures and volumes below standard values (Figure 3). Given the mechanism of action, the use of ECMO in the setting of very severe ARDS may be appropriate across a wide range of patient populations, including but not limited to pneumonia, sepsis, trauma, aspiration, near drowning, and transfusion-associated acute lung injury.

Understanding the long-term outcomes after ECMO for patients with very severe ARDS helps clinicians provide prognostic information. Survival prediction models offer some information in this regard.<sup>56,57</sup> However, further work is needed before such models are fully integrated into clinical care.

#### Moderate to Severe ARDS

Given that very severe ARDS constitutes a small percentage of patients with ARDS,<sup>58</sup> a key question is whether ECLS has a role for patients with less severe ARDS. In such cases, although the hypoxemia is not as severe, there is a rationale for lowering ventilation volumes and pressures beyond standard values to further reduce VIL1.<sup>59-64</sup> However, in the absence of ECLS, this approach may lead to hypercapnic acidosis. A typical strategy of *permissive hypercapnia* trades the potential downsides of hypercapnia<sup>65-67</sup> for lower lung injury. Yet there is a limit, after which it may become *impermissible hypercapnia*, reaching levels of pH and PacO<sub>2</sub> that are intolerable. In these situations, there is a rationale for using ECCO<sub>2</sub>R, which provides direct CO<sub>2</sub> removal from blood, albeit with little appreciable increase in oxygenation.

With ECCO<sub>2</sub>R or ECMO, it is possible to decrease PacO<sub>2</sub>, allowing a lower intensity of mechanical ventilation, potentially reducing VILI and mortality. While the 1994 RCT showed no benefit of ECCO<sub>2</sub>R in ARDS,<sup>4</sup> it was performed with older technology and the complication rate was notably high. A more recent small RCT of ECCO<sub>2</sub>R using more modern ECMO technology was completed in patients with moderate to severe ARDS.<sup>14</sup> Patients were randomized to tidal volumes of 6 mL/kg predicted body weight vs approximately 3 mL/kg, with Paco<sub>2</sub> controlled by arteriovenous ECCO<sub>2</sub>R (a pumpless form of ECCO<sub>2</sub>R using systemic arterial pressure as the pump). Although the trial failed to meet its ventilator-free days primary end point, a post hoc subgroup analysis demonstrated a significant reduction in ventilator-free days at 60 days in patients with Pa02:FI02 ratios of 150 or less. In this trial, transfusion to day 10 was higher in the ECCO<sub>2</sub>R group (mean [SD], 3.7 [2.4] units vs 1.5 [1.3] units; P < .05), and ECCO<sub>2</sub>R-related adverse events occurred in 7.5% of patients.

A pilot study demonstrating the safety and feasibility of  $ECCO_2R$ in moderate to severe ARDS using 3 different devices was recently completed,<sup>15</sup> with planning under way for a multicenter RCT using

#### Box 2. ECMO for Severe ARDS: Entry Criteria for EOLIA<sup>a,b</sup>

#### **Eligibility for EOLIA Was Defined by:**

Fulfilling the American-European consensus definition for ARDS Receiving invasive mechanical ventilation for <7 days

Meeting 1 of the following 3 criteria despite optimization of mechanical ventilation ( $F_{IO_2} \ge 0.80$ , tidal volume of 6 mL/kg predicted body weight, PEEP  $\ge 10 \text{ cm H}_20$ ):

- $PaO_2$ : FIO<sub>2</sub> <50 mm Hg for >3 hours, or
- $\bullet$  PaO\_2:FIO\_2 <80 mm Hg for >6 hours, or
- pH <7.25 with Paco<sub>2</sub>  $\ge$  60 mm Hg for >6 hours with a respiratory rate increased to 35 breaths per minute, adjusted for plateau pressure  $\le$  32 cm H<sub>2</sub>O.
- <sup>a</sup> Physicians were encouraged to use neuromuscular blocking agents and prone positioning before randomization.

<sup>b</sup> Criteria adapted from Combes et al.<sup>8</sup>

Abbreviations: ARDS, acute respiratory distress syndrome; ECMO, extracorporeal membrane oxygenation; EOLIA, the Extracorporeal Membrane Oxygenation for Severe Acute Respiratory Distress Syndrome Trial; FIO<sub>2</sub>, fraction of inspired oxygen; PaCO<sub>2</sub>, partial pressure of arterial carbon dioxide; PaO<sub>2</sub>, partial pressure of arterial carbon dioxide; PaO<sub>2</sub>, partial pressure of arterial oxygen; PEEP, positive end-expiratory pressure.

a form of personalized medicine with a predictive enrichment strategy for choosing the patients most likely to benefit from ECCO<sub>2</sub>R.<sup>68</sup> An RCT of ECCO<sub>2</sub>R in patients with acute hypoxemic respiratory failure (PaO<sub>2</sub>:FIO<sub>2</sub> ratio  $\leq$ 150) with an intended enrollment of more than 1100 patients is ongoing in the United Kingdom (NCTO2654327).<sup>69</sup> The move toward treating patients with moderate ARDS may foreshadow the eventual use of ECCO<sub>2</sub>R in mild ARDS or even patients at high risk for ARDS. However, this remains speculative at this time.

# Bridge to Lung Transplantation

Traditionally, outcomes after lung transplantation were poor if the patient required pretransplant ECMO,<sup>16,70</sup> reflecting the severity of the patients' pretransplant condition, with further deconditioning occurring while the patient was receiving ECMO. A strategy using ECMO in conjunction with minimal sedation, liberation from mechanical ventilation, and early mobilization allows patients to maintain—or even improve—physical conditioning and nutritional status while waiting for an organ donor when wait times are prolonged.<sup>16-19</sup>

It is important to recognize that lungs are a scarce resource. It is not enough to bridge patients successfully to transplant; these patients should also have long-term outcomes comparable with or better than transplanted patients who never required ECMO prior to transplant. In the largest series to date using this strategy, Tipograf and colleagues<sup>16</sup> reported a 3-year survival of 83%, which was not significantly different when compared with transplanted, propensity-matched, non-ECMO-treated patients, despite much higher lung allocation scores in the ECMO group. This suggests that ECMO as a bridge to lung transplantation is a viable and potentially beneficial strategy.<sup>16</sup>

Acute Exacerbations of Chronic Obstructive Pulmonary Disease  $ECCO_2R$  is most compelling physiologically for patients with hypercapnic respiratory failure, such as those with acute exacerbations

of chronic obstructive pulmonary disease (COPD) who are either failing noninvasive ventilation to avoid endotracheal intubation<sup>22,23</sup> or who are already intubated and might be liberated earlier from invasive mechanical ventilation.<sup>21</sup> The control of respiratory drive by  $ECCO_2R$ , when used in hypercapnic patients,<sup>51</sup> minimizes pulmonary hyperinflation by reducing minute ventilation and facilitates avoiding sedation and invasive mechanical ventilation, which in turn decreases the risk of ventilator-associated pneumonia. It might also help with delivery of inhaled bronchodilators and nutrition, as well as improve rehabilitation by decreasing dyspnea.<sup>21</sup>

Currently, the use of  $ECCO_2R$  in acute exacerbations of COPD should be limited to research studies given the high rates of device-related complications seen in some series.<sup>22,23</sup> The risk to benefit ratio of this strategy should be tested in RCTs before widespread adoption.<sup>71</sup>

# Right-Sided Heart Failure With or Without Respiratory Failure

Acute Pulmonary Embolism | Low-grade evidence and good physiologic rationale support the use of venoarterial (and, less commonly, venovenous) ECMO in massive pulmonary embolism with or without adjunctive therapeutic procedures (catheter-directed thrombolysis or embolectomy or surgical embolectomy) or with anticoagulation alone.<sup>25-29</sup>

Acute Decompensation of Pulmonary Hypertension | Venoarterial ECMO has been successfully used in patients with decompensated pulmonary hypertension with right-sided heart failure as both a bridge to transplant and a bridge to recovery using multiple cannula configurations.<sup>17,30-33</sup> Case selection is crucial in bridge to recovery, which should only be attempted when a potentially reversible process is identified, and centers should have expertise in both ECMO and pulmonary hypertension.

Other Applications of ECLS for Respiratory Failure | Other potential indications supported only by case series, yet having a compelling underlying physiologic rationale, include primary graft dysfunction after lung transplantation<sup>20</sup> and status asthmaticus<sup>24</sup> (Table). Given that large trials are unlikely in these populations, ECMO or ECCO<sub>2</sub>R may be considered in centers experienced in both ECLS and management of the underlying condition. For asthma, extending the use of ECCO<sub>2</sub>R to less severe exacerbations, especially in those not requiring invasive mechanical ventilation, should be considered a research indication, given the potentially higher risk to benefit ratio in these patients.

# Contraindications

The only absolute contraindication to the use of ECLS for respiratory failure is an irreversible underlying process when the patient is not a candidate for lung transplantation. Proposed relative contraindications, such as moribund state, devastating neurologic injury, or untreatable metastatic cancer, are mostly common sense and relate to poor overall prognosis. Difficult vascular access can rarely preclude the use of ECLS.

## **Regionalization at Expert Centers and Mobile ECLS**

As with other complex techniques in medicine, a volume-outcome relationship has been suggested in ECLS.<sup>72,73</sup> Although the litera-

ture is not entirely consistent on this point,<sup>74,75</sup> consensus statements have proposed minimum case volumes for ECLS centers,<sup>76,77</sup> and both CESAR and EOLIA may be taken as arguments in favor of concentrating ECLS cases in expert centers. Importantly, centers should be expert in the care of acute respiratory failure and the underlying patient conditions, with ECLS being just one tool available as part of a larger management algorithm.<sup>11,55</sup>

ECLS is often provided to desperately ill patients. Concentrating such patients in expert centers requires the ability to transport them safely, often over considerable distances. In the largest experience with ECLS transport reported to date, of 908 transports, 20% experienced a severe complication. However, such complications were not associated with increased mortality and only 2 patients died during transport.<sup>78</sup> Overall, mobile ECLS transport has been shown to be safe when performed by experienced teams using detailed transport protocols.<sup>78-82</sup>

The regionalization of ECLS services, however, should not be taken as an absolute.<sup>83</sup> Higher volumes do not guarantee better outcomes and there may be a role for low-volume, high-quality centers in areas with sparse populations or during pandemics, when larger centers are unable to accommodate an unusually high volume of patients. Although such centers may be able to keep up some of their team's skills through high-fidelity simulation,<sup>84</sup> the issues of which centers should be performing ECLS and the optimal annual case volume required to maintain competency remain open questions.

## **Financial and Ethical Implications**

ECLS is expensive, with the costs varying according to geographic region. Because of this, there is a need for data reflecting real-world costs of performing ECLS to inform policy makers, governments, and health care institutions. Issues have been raised about whether financial incentives for providing ECLS are a major driver of utilization in some countries, as opposed to the clinical imperative.<sup>46</sup> With the use of ECLS spreading worldwide, there are also issues of both equity and equality that need to be addressed.<sup>85,86</sup>

Most of the ethical challenges arising during ECLS are not unique to the technology, but are often magnified by the severity of the patients' illness and the intensity of the clinical scenarios in which they occur.<sup>87,88</sup> Key ethical issues include determining when it is appropriate to withhold ECLS<sup>89</sup> and whether to withdraw ECLS when the goals of care can no longer be met.<sup>90,91</sup> Other scenarios, such as ECLS in a patient who is awake and bridging to lung transplantation but no longer a candidate for transplantation (the so-called "bridge to nowhere" scenario), also require further exploration.<sup>87,92</sup> In general, careful consideration of the ethical, palliative, and spiritual needs of these patients is of paramount importance.<sup>93,94</sup>

#### **Current and Future Research Priorities**

Despite the growth in ECLS, standardization is lacking across centers and regions, and the optimal approach to management is frequently unknown. For instance, membrane lungs, pumps, and cannulae vary considerably in their basic design properties, making comparisons across patients and centers difficult. There is no widespread agreement on the most appropriate approach for delivering and measuring anticoagulation during ECLS, and there is an incomplete understanding of the effect of the circuit on pharmacokinetics. Furthermore, the appropriate levels of blood flow and sweep gas flow rates are unknown, in part because ideal targets for oxygenation and ventilation are ill-defined. Optimal management of the ventilator during ECMO<sup>95-97</sup> and weaning from ECMO are other key areas of uncertainty.

As a technology-centered field, whose application is limited to a relatively modest number of patients for any given indication, research in ECLS is challenging.<sup>98</sup> The severity of illness of the patients, the heterogeneity of practice, low case volumes at any one center, and the resources needed to perform ECLS create considerable barriers to research. Technical advances make research findings a moving target, requiring frequent reassessment. Given this, a coordinated effort among stakeholders to study ECLS through organizations such as the Extracorporeal Life Support Organization, which maintains the largest international registry of ECLS patients, and research networks, such as the International ECMO Network (http://www.internationalecmonetwork.org) are essential. Further high-quality research is needed to better understand the indications, risks, and potential benefits of this technology, and ideally all ECLStreated patients should be entered into registries.

Importantly, the evolution of the evidence in the field should not be seen as an invitation to unlimited use of the technology. While the role of ECLS is clearly increasing, caution must be taken not to let enthusiasm fill the gaps in the current evidence.

## The Future of Extracorporeal Support

Advances in technology will no doubt transform the landscape of extracorporeal support in the coming decades. The emergence

## ARTICLE INFORMATION

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of a true artificial lung that may allow patients with acute respiratory failure to be treated outside the ICU and patients with chronic respiratory failure to be treated at home without mechanical ventilation is possible in the not-too-distant future.<sup>99</sup> Chronic COPD might be treated with intermittent respiratory dialysis, the removal of  $CO_2$  in sessions conceptually similar to renal dialysis.<sup>99</sup> Such advances could one day make mechanical ventilation obsolete for some indications. The current conception of the ICU is built, in large part, around the mechanical ventilator. Perhaps extracorporeal technologies could one day disrupt the prevailing ICU model.

Expanding on the understanding of the crosstalk between native organs, a novel concept is emerging of extracorporeal organ support (ECOS), representing support for the lungs, heart, liver, kidneys, and perhaps other organs.<sup>99</sup> And with this, one can envision integrated ECOS platforms capable of providing support in a coordinated fashion to multiple organs simultaneously.<sup>38,99</sup>

# Conclusions

ECLS (ECMO and ECCO<sub>2</sub>R) for acute respiratory failure has evolved rapidly in recent years from a niche technology on the fringes of medicine to a mainstream modality of respiratory and right heart support. While the development of new ECLS technologies holds the promise of changing the approach to treatment for respiratory failure, and while the role of ECLS will no doubt continue to grow, the need for high-quality research to guide this growth has never been greater.

Submissions: We encourage authors to submit papers for consideration as a Review. Please contact Edward Livingston, MD, at Edward. livingston@jamanetwork.org or Mary McGrae McDermott, MD, at mdm608@northwestern.edu.

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